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09/04/02

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: KADEL *et al.*  
Serial No.: 10/039,306  
Conf.: 8288  
Filed: October 22, 2001  
For: **EXTENSIBLE INFORMATION  
SYSTEM**  
Art Unit: 2183  
Examiner: Unassigned

## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Alicia Bradbury

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## TRANSMITTAL LETTER

US Patent and Trademark Office  
PO Box 2327  
Arlington, VA 22202  
Sir:

Transmitted herewith are an Information Disclosure Statement, Forms PTO-1449 (1 page) and cited references for filing in connection with the above-identified application. Because this Information Disclosure Statement is filed prior to receipt of a first office action on the merits in the above-referenced application, no fee is due. However, should it be determined that a fee for filing these papers is required, the Commissioner is authorized to charge Deposit Account No. 05-1213, as stated below:

[ X ] The Commissioner is hereby authorized to charge any fees that may be due under 37 C.F.R. §§1.16-1.17 in connection with this paper or with this application during its entire pendency to Deposit Account No. 05-1213. A duplicate of this sheet is enclosed.

Respectfully submitted,  
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By: David A. Hall  
David A. Hall  
Registration No. 32,233

Attorney Docket No. 38671-6111  
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08/21/02  
Date

*Alicia Bradbury*  
Alicia Bradbury

INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE  
WITH 37 C.F.R. §§ 1.97-1.98

US Patent and Trademark Office  
PO Box 2327  
Arlington, VA 22202

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Dear Sir:

Because this Information Disclosure Statement is filed prior to receipt of a First Office Action on the Merits for the above-captioned application, a fee for filing this statement should not be due. If, however, it is determined that a fee is due, any fees that may be due in connection with filing this paper may be charged to Deposit Account No. 50-1213.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all references known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98 Forms PTO-1449 (1 page) and copies of the cited documents are provided herewith in connection with the above-captioned application.

The documents listed on the Forms PTO-1449 and supplied herewith are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as

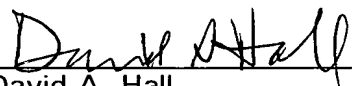
**USSN 10/039,306**  
**KADEL, *et al.***  
**INFORMATION DISCLOSURE STATEMENT**

§1.98, as amended effective March 16, 1992, no further explanation of the listed items is necessary.

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the references, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56(b) exists.

Applicant respectfully requests that the Examiner review the foregoing references and make them of record in the file history of the above-captioned application.

Respectfully submitted,  
HELLER, EHRMAN, WHITE & McAULIFFE LLP

By:   
David A. Hall  
Registration No. 32,233

Attorney Docket No. 38671-6111  
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## INFORMATION DISCLOSURE STATEMENT

UNDER 37 CFR §1.56, §1.97, and §1.98

PTO-1449 FORM

Sheet 1 of 1

ATTORNEY DOCKET NO.:

38671-6111

SERIAL NO.:

10/039,306

APPLICANTS: KADEL, *et al.*

FILING DATE: October 22, 2001

GROUP ART UNIT: 2183

## U.S. PATENT DOCUMENTS

† EX'R INITIAL	*REF. #	PATENT NUMBER	DATE	NAME	U.S. CLASS/ SUBCLASS	FILING DATE (If appropriate)

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## FOREIGN PATENT DOCUMENTS

† EX'R INITIAL	*REF. #	DOCUMENT NO.	DATE	COUNTRY	TRANSLATION (YES/NO)

## OTHER DOCUMENTS

† EX'R INITIAL	*REF. #	CITATION (Author, Article Title, Journal/Book Title, Date, Pertinent Pages, etc.)
		Gamma, <i>et al.</i> , "Design Patterns: Elements of reusable object oriented software", Ch. 4., p. 137-220, New York: Addison-Wesley (1995)
		Thomas, A., "Selecting enterprise JavaBeans technology," Patricia Seybold Group (1998)
		Wooldridge and Jennings, "Intelligent Agents: Theory and practice", <u>Knowledge Engineering Review</u> 10:115-152 (1995)

EXAMINER'S SIGNATURE

DATE CONSIDERED

† EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609. Line through citation if not in conformance and not considered. *Include copy of this form in next communication to applicant.*

\* If an asterisk is placed beside the reference number, a copy is not provided because the reference was previously cited by or submitted to the PTO in a prior application that is identified in the statement and relied upon for an earlier filing date under 35 U.S.C. 120. 37 C.F.R. 1.98(d).

TITLE: **EXTENSIBLE INFORMATION SYSTEM**